

DECLARATION FOR PATENT APPLICATION

As the below-named inventors, we hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled **SELECTIVE CONTROL OF LIGNIN BIOSYNTHESIS IN TRANSGENIC PLANTS**, the specification of which

\_\_\_\_\_ is attached hereto as Attorney Docket No. \_\_\_\_\_.

  X   was filed on **June 25, 1999**, as Application Serial No. **09/339,998** (Attorney Docket No. **P-UD 3558**).

and was amended on (or amended through) \_\_\_\_\_ (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above.

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to myself to be material to patentability as defined in Title 37, Code of Federal Regulations, Sec. 1.56.

Under Sec. 1.56, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and (1) It establishes, by itself or in combination with other

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or (2) It refutes, or is inconsistent with, a position the applicant takes in: (I) Opposing an argument of unpatentability relied on by the U.S. Patent and Trademark Office, or (ii) Asserting an argument of patentability.

I hereby claim the benefit under Title 35, United States Code, §119(e) of the United States application listed below,

<u>Application Serial No.</u>	<u>Filing Date</u>	<u>Status</u>
60/090,649	6/25/98	Abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: Martin F. Yanofsky

Inventor's signature: Martin Yanofsky

Date: 8/4/99

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Citizenship:

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M.Y. 8/4/99

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Full name of second inventor: Sarah Liljegren

Inventor's signature:

Date:

Residence:

Citizenship:

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United States of America

3268 Caminito Ameca  
La Jolla, California 92037

Full name of third inventor: Cristina Ferrandiz

Inventor's signature:

Date:

Residence:

Citizenship:

Post Office Address:

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United States of America

108 Pennsylvania Ave.  
San Diego, California 92103

# ASSIGNMENT

UCSD Case No. SD-98-117

C&F Docket # P-UD 3558

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Martin F. Yanofsky
2. Sarah Liljegren
3. Cristina Ferrandiz
4. \_\_\_\_\_

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, A California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

## SELECTIVE CONTROL OF LIGNIN BIOSYNTHESIS IN TRANSGENIC PLANTS

and which is found in

- (a) \_\_\_\_\_ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) \_\_\_\_\_ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) X U.S. application serial no. 09/339,998, filed on June 25, 1999
- (d) \_\_\_\_\_ U.S. Patent No.: \_\_\_\_\_, issued \_\_\_\_\_

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signatures of Inventor(s)

4<sup>th</sup> day of August 1999  
4<sup>th</sup> day of August 1999  
9<sup>th</sup> day of August 1999

Martin F. Yanofsky  
Sarah Liljegren  
Cristina Ferrandiz



A Recordation Form Cover Sheet and  
an executed Assignment  
P-UD 3558  
Serial No.: 09/339,998

I hereby certify that this correspondence is being deposited with  
the United States Postal Service as first class mail in an  
envelope addressed to: Attention Box Missing Parts, Assistant  
Commissioner for Patents, Washington, D.C. 20231, on  
August 16, 1999.

By Andrea L. Gashler  
Andrea L. Gashler, Reg. No. 41,029

August 16, 1999  
Date of Signature

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